

Serial No. 10/511,630
NE297-PCT (US)
TAK.049



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Taksnori SHIMIZU, et al.

Serial No.: 10/511,630

Group Art Unit: 2883

Filing Date: October 19, 2004

Examiner: Dinh D. Chiem

For: OPTOELECTRONIC HYBRID INTERGRATED MODULE AND LIGHT INPUT/OUTPUT APPARATUS HAVING THE SAME AS COMPONENT

Honorable Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, cited in the Japanese Office Action dated August 30, 2006, in a counterpart foreign application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, English Language Abstracts are attached to most of the references.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

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This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner, and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Date: November 6, 2006

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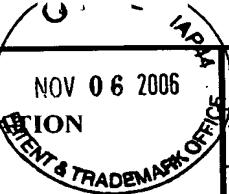


Scott M. Tulino, Esq.
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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

NOV 06 2006



Docket Number (Optional)
NE297-PCT (US)

Application Number
10/511,630

Applicant(s)
Taksnori SHIMIZU, et al.

Filing Date
October 19, 2004

Group Art Unit
2883

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		02-291140	11/30/1990	Japan			AB5	
		05-121780	0/18/1993	Japan			AB5	
		06-340118	12/13/1994	Japan			AB5	
		63-102390	05/07/1888	Japan				✓
		64-50326	02/27/1989	Japan			AB5	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Japanese Office Action dated August 30, 2006 with Partial English Translation

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
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NOV 06 2006

ATTY DOCKET NO.

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2000-332354	11/30/2000	Japan			ABS	
	2001-148506	05/29/2001	Japan			ABS	
	2001-160611	06/12/2001	Japan			ABS	
	2001-196635	07/19/2001	Japan			ABS	
	08-064840	03/08/1996	Japan			ABS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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